

FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT



Applicant(s): Tetsunosuke Fujisaki
Docket No.: YO999-527
Serial No.: 09/710,999
Filing Date: November 9, 2000
Group: 2161

RECEIVED

AUG 24 2001

Technology Center 2100

U.S. PATENT DOCUMENTS

EXAMINER	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE	IF APPROPRIATE
----------	--------------	------	------	----------------	-------------	----------------

FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION	YES	NO
----------	--------------	------	---------	----------------	-------------	-----	----

OTHER DOCUMENTS

EXAMINER	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
----------	---------	--

- | | | |
|------|----|---|
| N.I. | 1. | Gutner et al., "The E-Bond Revolution," Business Week (1999). |
| N.I. | 2. | Hershey, Jr., "Trading In Bonds Online, at Last," downloaded from http://www.nytimes.com/library/tech/99/06/biztech/articles/ on June 28, 1999. |
| N.I. | 3. | Nybo et al., "eCommerce in the U.S. Fixed Income Markets: The 1999 Review of Electronic Transaction Systems," The Bond Market Association (1999). |
| N.I. | 2. | Tam, "Bond Traders Seek More, Better Online Services," (1998). |

N. Subramanian
Examiner

3/31/04
Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.